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1	RANSMITTAL LETTER	258763-454-15635							
	DESIGNATED/ELECT	U.S APPLICATION NO. (If known, see 37 CFR 1 5							
	CONCERNING A FILIN	NG UNDER 35 U.S.C. 371	10/049956						
	NATIONAL APPLICATION NO.	PRIORITY DATE CLAIMED							
PCT/GB00/03203 17 August 2000 17 August 1999									
	TITLE OF INVENTION HYDROCYCLONE								
SMYT	APPLICANT(S) FOR DO/EO/US SMYTH, Ian C., THOMPSON, Peter A.								
Applicar	nt herewith submits to the United St	ates Designated/Elected Office (DO/EO/US)	the following items and other information:						
1. 🛛 1	This is a FIRST submission of item	s concerning a filing under 35 U.S.C. 371.							
2. 🔲 🦪	This is a SECOND or SUBSEQUEN	NT submission of items concerning a filing to	inder 35 U.S.C. 371.						
3. 🔲 1	This is an express request to begin ritems (5), (6), (9) and (21) indicated	national examination procedures (35 U.S.C. 3 below.	71(f)). The submission must include						
4. 🔲 🤈	The US has been elected by the exp	iration of 19 months from the priority date (A	article 31).						
5. 🗹 1	A copy of the International Applicat		15						
		d only if not communicated by the Internatio	nal Bureau).						
		y the International Bureau. lication was filed in the United States Receiv.	ing Office (RO/US)						
		the International Application as filed (35 U.S							
	a. is attached hereto.	the memational Application as fried (55 0.5	3/1(0)(2)).						
· .		itted under 35 U.S.C. 154(d)(4).							
. 7. 🗹	Amendments to the claims of the In	ternational Aplication under PCT Article 19	(35 U.S.C. 371(c)(3))						
;	a. are attached hereto (requir	ed only if not communicated by the Internati	onal Bureau).						
1	b. have been communicated	by the International Bureau.							
,	c. have not been made; howe	ever, the time limit for making such amendm	ents has NOT expired.						
	d. 🗹 have not been made and w	vill not be made.							
8. 🔲 .	An English language translation of t	the amendments to the claims under PCT Art	icle 19 (35 U.S.C. 371 (c)(3)).						
9. 🔲 .	An oath or declaration of the invent	or(s) (35 U.S.C. 371(c)(4)).							
10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).									
Item	s 11 to 20 below concern documen	nt(s) or information included:							
11.	An Information Disclosure Statem	nent under 37 CFR 1.97 and 1.98.							
12.	An assignment document for reco	rding. A separate cover sheet in compliance	with 37 CFR 3.28 and 3.31 is included.						
13.	A FIRST preliminary amendment.								
14.	A SECOND or SUBSEQUENT p	oreliminary amendment.							
15.	A substitute specification.								
16.	A change of power of attorney and/or address letter.								
17.	A computer-readable form of the	sequence listing in accordance with PCT Rul	e 13ter.2 and 35 U.S.C. 1.821 - 1.825.						
18.	18. A second copy of the published international application under 35 U.S.C. 154(d)(4).								
19. 🗌	19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20.	20. Other items or information:								
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U.S. APPLICATION OF A STORE OF INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER 258763-454-15635 CALCULATIONS PTO USE ONLY 21. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . . . . . \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO ENTER APPROPRIATE BASIC FEE AMOUNT = 890 Surcharge of \$130.00 for furnishing the oath or declaration later than 20 \$ months from the earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED NUMBER EXTRA \$ RATE Total claims - 20 = \$18.00 0 Independent claims \$ -3 = x \$84.00 0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ + \$280.00 0 \$ 0 TOTAL OF ABOVE CALCULATIONS Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above \$ are reduced by 1/2. 0 \$ 890 Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). \$ TOTAL NATIONAL FEE 890 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$ accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED \$ 890 Amount to be refunded: 2 charged: a. A check in the amount of \$ \_ to cover the above fees is enclosed. Please charge my Deposit Account No. 03-3923 \_\_\_ in the amount of \$ 890 to cover the above fees. A duplicate copy of this sheet is enclosed. c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-3923</u>. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: Michael J. Brown SIGNATURE Curtis, Mallet-Prevost, Colt & Mosle LLP Michael J. Brown 101 Park Avenue NAME New York, New York 10178-0061 37,100 REGISTRATION NUMBER

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#### **HYDROCYCLONE**

### FIELD OF THE INVENTION

The field of this invention relates to cyclonic separation of solids from liquids or liquids from liquids.

### BACKGROUND OF THE INVENTION

Cyclones have been in use in separation applications in a variety of industries for many years. Typically, these devices have a cylindrical body tapering to an underflow outlet, with a tangential or involute entrance and a centrally located end connection for the overflow fluids at the head end of the hydrocyclone. These devices are used to separate fluids of different densities and/or to remove solids from an incoming stream of a slurry of liquid and solids, generally concentrating the solids in the underflow stream.

Over the years, many efforts have been undertaken to optimize the performance of hydrocyclones. Performance increase could be measured as an increase in throughput without material sacrifice in the degree of separation desired for a given operating pressure drop. An alternate way to measure improved performance is to increase the separation efficiency for a given inlet flow rate and composition.

In the past, a cyclone has been provided with a single ramp presenting a generally planar face extending at a relatively shallow angle to a radial plane of the hydrocyclone and thus inclined toward the underflow end of the hydrocyclone. Thus, when the fluid enters from the inlet, the fluid swirls about the

axis of the chamber, with the back wall imparting to the mixture an axial velocity component in the direction toward the underflow outlet. This design is illustrated in PCT application WO97/05956. Also relevant to a general understanding of the principles of operation of hydrocyclones are PCT applications WO97/28903, WO89/08503, WO91/16117, and WO83/03369; U.K. specification 955308; U.K. application GB 2230210A; European applications 0068809 and 0259104; and U.S. patents 2,341,087 and 4,778,494,

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In the past, a single helix of a uniform pitch was used to present an inclined surface to the incoming mixture. The inclined surface terminated at a step after the incoming mixture had undergone a complete revolution within the separating chamber. Thus, this prior design, illustrated in PCT application WO97/05956, took the entire incoming fluid stream and imparted a generally uniform velocity axial component to the generally helical flowpath of that entire incoming stream.

However, applicants' detailed studies of the axial flow of the fluid after it enters the hydrocyclone have revealed that, as viewed in a radial direction from the longitudinal centerline of the hydrocyclone, a preferred flow pattern would be nonuniform, with the greatest velocity being adjacent the peripheral wall of the hydrocyclone. Moving in radially from the outer periphery toward the longitudinal axis, the axial velocity component of the fluid mass decreases until it undergoes a reversal in direction representing the fluid stream that is heading toward the overflow outlet.

Accordingly, in seeking further capacity or efficiency improvements, one of the objectives of the present invention was to minimize turbulence internal to the hydrocyclone and thereby increase its performance. The capacity

WO 01/12334

PCT/GB00/03203

improvement was achieved by recognizing that in order to minimize turbulence, the incoming fluid stream should be driven axially at different velocities, depending on the radial placement of the stream within the body. Accordingly, the objective of improving throughput and/or separation efficiency has been accomplished in the present invention by recognizing this need to reduce turbulence and accommodating this performance—enhancing need by a specially designed back wall ramp featuring multiple side—by—side spiraling slopes, the steepest slope being furthest from the longitudinal axis with adja—cent slopes becoming shallower as measured radially inwardly toward the longitudinal axis. Those skilled in the art will more fully appreciate the significance of the present invention by a review of the detailed description of a preferred embodiment thereof below.

### SUMMARY OF THE INVENTION

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An improvement is made in the efficiency and/or throughput of a hydrocyclone by providing a back wall which imparts a greater axial velocity component to the fluids at the periphery as measured radially from the longitudinal axis of the hydrocyclone and a lesser axial velocity component to portions of the incoming fluid stream closer to the longitudinal axis of the hydrocyclone. More particularly, the back wall should correspond generally to the swirl pattern within the hydrocyclone, a combination of axial and tangential velocity components, to enable the incoming fluid stream to reach the desired flow pattern more quickly and efficiently than otherwise possible.

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By way of example, specific embodiments in accordance with the invention will be described with reference to the accompanying drawings in which:-

Figure 1 is an elevation view showing the different degrees of inclination of the outer and inner ramps.

Figure 2 is the view along lines 2-2 of Figure 1, showing the ramps from the underside looking up toward the overflow outlet.

Figure 3 is a perspective view, in part cutaway, illustrating the two ramps at different angles.

Figure 4 is a schematic representation of the velocity distributions in the axial direction shown superimposed on a section view through the overflow and underflow connections, with an alternative embodiment of a curved ramp.

Figure 5 is a section view through the ramp, showing that at any given section, the radial line from the longitudinal centerline coincides with the ramp surface.

Figure 6 is similar to Figure 5 except the two ramps shown are disposed when a line is extended across their surface in any given section across the longitudinal axis at an angle toward the longitudinal axis.

Figure 7 is an alternative embodiment of a multiple-ramp structure shown in the other figures, showing the ability to provide a greater axial component to the fluid stream furthest from a longitudinal axis and a lesser component closer to the longitudinal axis by having a surface with curves or arcs so as to make a smoother rather than a step-wise transition from one ramp to the other as shown, for example, in Figures 1 and 2.

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## DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

The hydrocyclone 10 has an inlet 12 which can be tangential or an involute, as illustrated in Figure 3. One or more inlets can be used. The incoming flow stream is exposed to a steeper outer ramp 14, as well as the shallow or inner ramp 16. Figure 2 better illustrates the inlet 12 and the placement of the outer ramp 14 closest to the housing 18. A longitudinal axis 20 extends from the underflow exit 22 to the overflow exit 24. A wall 26 marks the inside of the inner ramp 16 and spirals around longitudinal axis 20 in a general direction parallel to longitudinal axis 20 in view of the fact that the body 18 is generally cylindrical in the area of ramps 14 and 16. In the embodiment illustrated in Figure 2, there are two inlets and the length of ramps 14 and 16 is generally 180°. Due to the spiraling orientation of ramps 14 and 16, they wind up radially adjacent to the opposing inlet by the time they have made a 180° turn inside the body 18. Figure 2 also illustrates the inner ramp 16 extending from the lower end of wall 26 and spiraling around in the same manner as the outer ramp 14 but at a different pitch, as illustrated in Figures 1 and 3. Accordingly, that portion of the inlet fluid which is ramped by the inner ramp 16 is ramped at a far shallower angle than the fluid which is radially furthest from the longitudinal axis 20 which is ramped by the outer ramp 14. The provision of the dual-ramp design minimizes internal turbulence within the hydrocyclone 10 and thus improves the throughput and/or efficiency of separation of a given body design. Test comparisons of an identically configured hydrocyclone for separating oil from water, having a single inner 3° ramp compared to the same design with both a 3° inner ramp and a 10° outer ramp were undertaken. Test results indicated an increase in capacity,

over a baseline hydrocyclone without such ramps, of 3% for the single-ramp design rising to 8% for the dual-ramp design without significantly affecting separation.

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Referring now to Figure 3, the overflow outlet 50 is depicted aligned with centerline 20. The low ramp 16 is shown transitioning to the back wall 52. Back wall 52 can be flat and in a plane perpendicular to the longitudinal axis 20, or alternatively, it can be concave looking up or concave looking down with respect to the underflow connection 22 or overflow connection 24. The inner low ramp 16 can be configured to smoothly transition into the back wall 52, or they could be at different angles, all without departing from the spirit of the invention.

Figure 4 illustrates conceptually the change in axial component velocity measured on a radial line from the inside wall of the body 18 to the longitudinal centerline 20. Figure 4 illustrates that the downward axial component is greatest along the inside of wall 18 and diminishes in quantity in a downward direction until it undergoes a reversal at point 28. Thereafter, arrow 30 illustrates that a velocity increase in the opposite direction toward the overflow connection 24 is realized. The concept behind the multiple ramp of the present invention is to mimic as closely as possible the velocity profile illustrated in Figure 4, also allowing for changes in the tangential velocity profile. This can be accomplished with two or more ramps at different grades, disposed adjacent each other and extending from the inside of body 18 to centerline 20. Rather than having discrete ramps with differing grades disposed adjacent to each other with walls spiraling generally a fixed distance from the centerline 20, the ramp of the present invention can also be designed as a

continuous member which eliminates the step changes between the ramps which are taken up by wall 26, for example, as shown in Figure 2. Instead, as shown in Figure 4, the ramp 32 can have a steeper gradient adjacent the inner wall of body 18 and a shallower gradient toward the centerline 20, yet be composed of a more unitary construction with smoother transitions from one ramp gradient to the next and can employ curved surfaces for making such transitions, as schematically illustrated in the section view of Figure 4.

Figures 5, 6, and 7 illustrate alternative embodiments. Figure 5 corresponds to the dual-ramp design shown in Figure 2, shown in one specific section view through the hydrocyclone. In this embodiment, a line drawn parallel to the ramp surface at that particular section will wind up crossing the centerline 20 at approximately 90°. The change made to the ramp in Figure 6 is to basically present the multi-slope ramp in an inclined position such that a line parallel to the ramp surface in any particular section intersects the centerline 20 at some angle other than a right angle, as suggested in Figure 5. Figure 7 again indicates that step-wise changes between ramps can be vertical walls, as shown in Figure 5, or can be one or more arced surfaces to make the transition from a greater axial component toward the wall to a lesser one toward the centerline.

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Accordingly, the provision of dual ramps makes a measured improvement in the capacity without sacrificing separation efficiency. The width of each ramp and the absolute angle with respect to the inlet 12 can be varied and the relative angles can also be varied without departing from the spirit of the invention. As previously stated, optimally for the particular design described above, the ramp angles are 3° and 10° for the inner and outer ramps

16 and 14, respectively. The ratio of gradients of the outer ramp 14 to the inner ramp 16 can be as low as about 1:2 and as high as about 1:5. With only a single inlet, the ramps can extend longer than 180° and can go around 360°.

The foregoing disclosure and description of the invention are illustrative and explanatory thereof, and various changes in the size, shape and materials, as well as in the details of the illustrated construction, may be made without departing from the scope of the invention.

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a body having an inlet at the periphery of the body, an adjacent back wall through which there is a central overflow connection and a central underflow connection at the opposite end of the body;

the overflow back wall presenting an inclined face for redirecting the stream of fluid entering the hydrocyclone to flow axially along the hydrocyclone in at least two different paths having at least two axial velocity components for improved phase separation performance.

### 2. The hydrocyclone of claim 1, wherein:

said body having a longitudinal axis extending from said overflow connection to said underflow connection;

said face comprises a radially inner portion and a radially outer portion, each defining a generally helical surface at a distinct slope extending from adjacent said inlet toward said underflow connection.

## 3. The hydrocyclone of claim 2, wherein:

said inner radial portion extends at a shallower slope toward said underflow connection than said outer radial portion.

### 4. The hydrocyclone of claim 3, wherein:

the slope of said outer radial portion extends at more than twice the slope of that of said inner radial portion.

1	5.	The hydrocyclone of claim 2, further comprising:
2		a wall disposed generally equidistant from said longitudinal axis
3	and markin	g a boundary between said inner and outer portions of said face.
1	<b>6</b> .	The hydrocyclone of claim 1, wherein:
2		the end wall face comprises three or more radial portions.
1	7.	The hydrocyclone of claim 6, wherein:
2		the slope of each radial portion is greater than that of the portion
3	spaced rad	ially inwardly thereof.
1	8.	The hydrocyclone of claim 1, wherein:
2		the end wall face presents a generally smooth, continuous sur-
3	face.	
1	9.	The hydrocyclone of claim 1, wherein:
2		at least a portion of the end wall face is inclined relative to the
3	longitudina	axis of the hydrocyclone.
1	10.	The hydrocyclone of claim 2, wherein:
2		said helical surfaces are flat.
	11.	The hydrocyclone of claim 2, wherein:
		said helical surfaces are curved.

### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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## 

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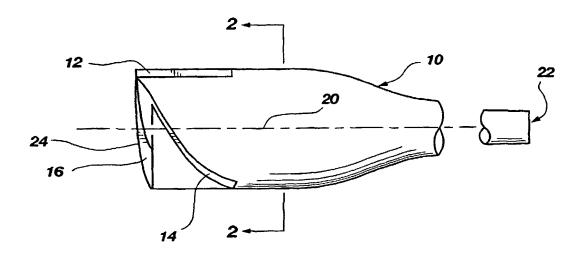
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(54) Title: HYDROCYCLONE



(57) Abstract: An improvement is made in the efficiency and/or throughput of a hydrocyclone (10) by providing a multi-sloped back wall ramp (14, 16) which imparts a greater axial component to the fluids at the periphery as measured radially from the longitudinal axis (20) of the hydrocyclone and a lesser axial component to portions of the incoming fluid stream closer to the longitudinal axis of the hydrocyclone.



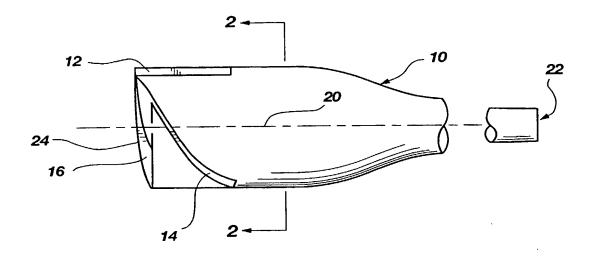
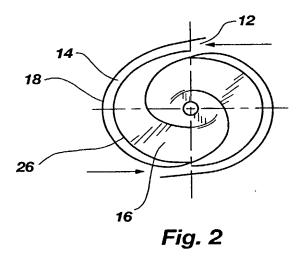


Fig. 1



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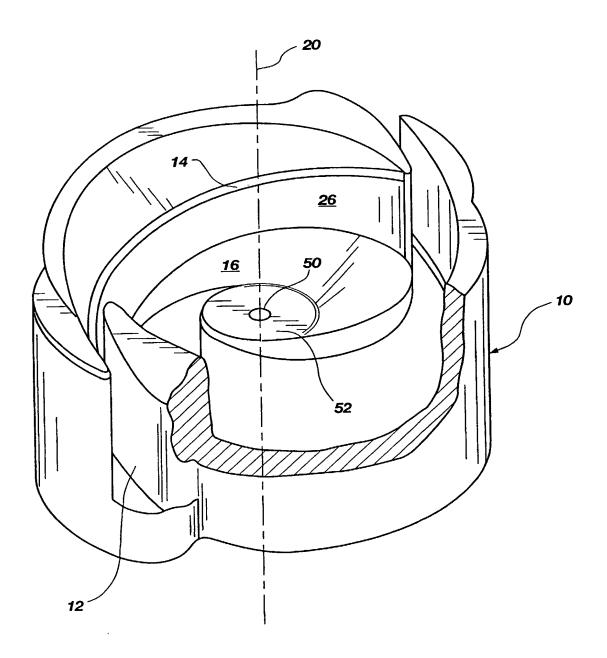


Fig. 3

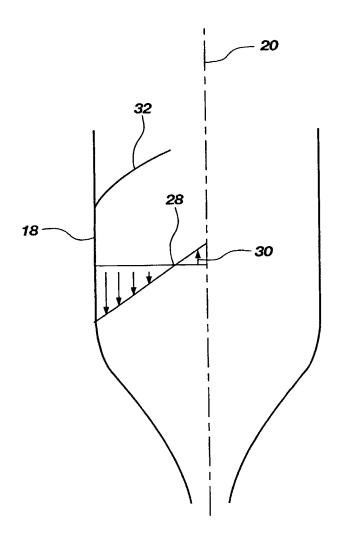
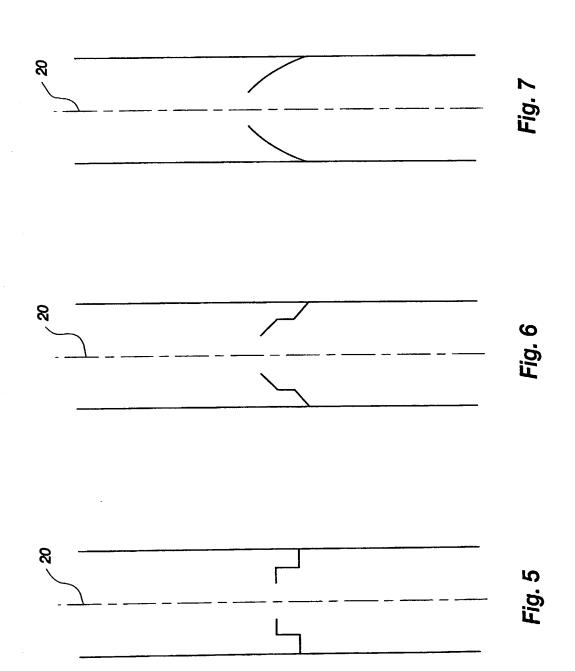


Fig. 4

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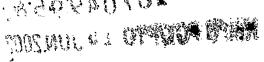
DECLARATION FO		First Named Inv	First Named Inventor SMYTH					
DESIG PATENT APPL			COMPLETE IF KNOWN					
(37 CFR 1		Application Num	olication Number 10 / 049,956					
Declaration	Filing Date	Augu	August 17, 2000					
Submitted OR with Initial	Declaration Submitted after Initia Filing (surcharge	Group Art Unit						
Filing	(37 CFR 1.16 (e)) required)	Examiner Name						
As a below named inventor, I hereby declare that:								
My residence, mailing address, ar	id citizenship are as state	ed below next to my name	э.					
I believe I am the original, first and	sole inventor (if only on	e name is listed below) o	r an original, first	and joint invento	or (if plural			
names are listed below) of the sub	ect matter which is clair	ned and for which a pale	nt is sought on tr	ie invention entit	ea			
HYDROCYCLONE								
		-						
	(Title of t	he Invention)						
the specification of which	(17.00 01.00	,						
is attached hereto								
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was filed on (MM/DD/YYYY	02/19/2002	as United Sta	ates Application I	Number or PCT I	nternational			
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I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.								
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in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.								
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed								
Prior Foreign Application Foreign Filing Date Priority Certified Copy Attached?  Number(s) Country (MM/DD/YYYY) Not Claimed YES NO								
9919462.3	UK	08/17/1999						

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto [Page 1 of 2]

08/17/2000

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## **DECLARATION** — Utility or Design Patent Application

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I hereby declare that all statements made herein of are believed to be true; and further that these state made are purishable by fine or imprisonment, or bo validity of the application or any patent issued thereo	ements oth, unk	were made will	n the k	nowledge that willful :	taise statements and the like so			
NAME OF SOLE OR FIRST INVENTOR:		A petition h	as be	en filed for this un	isigned inventor			
Given Name Ian C. (first and middle [if any])			Fami or St	<sub>ly Name</sub> SMYTH Imame				
Inventor's Signature					Date			
Residence: City		State		Сочпту	Chizenship			
Mailing Address								
City		State		ZIP	Country			
NAME OF SECOND INVENTOR:		A petition ha	s bee	n filed for this uns	igned inventor			
Given Name Peter A.  (first and middle [if any])  Family Name THOMPSON or Surname								
Inventor's Cathoughon GP3 Date 02 APR 2002.								
Residence: City GLOUCESTER State Country Citizenship U.K.								
Mailing Address 9 MOWBERRY CLOSE, LONGLEVENS, GLOS, U.K.								
City		State		GL2 OEN . ZIP	Country U.K.			
	sup	xplemental Additi	Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.					



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DECLARATION — Utility or Design Patent Application						
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New York		Starte NY	ZIP 10178-0061			
Country	Telephone (212)	696-6000	(212) 697-1559 Fax			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wiliful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such wiliful false statements may jeopardize the validity of the application or any patent issued thereon						
NAME OF SOLE OR FIRST INVENTOR:	A petition r	as been filed for this un	signed inventor			
Given Name IAN C. (first and middle [if any])		Family Name SMYTH or Surname				
Inventor's Signature	6.	33	Date 13/03/02			
Residence: City	HAMISH1 State	RE UK Country	BRITISH Citizenship			
Mailing Address ST. CHRISTOPHERS	CHURCH L	WE, EASTON				
Mailing Address ST. CHRISTOPHERS,  City WINCHESTER	HAM (SH	RE SO21 IEJ	U.K.			
NAME OF SECOND INVENTOR:		s been filed for this uns				
Given Name Peter A. Family Name THOMPSON (first and middle [if any]) or Surname						
Inventor's Signature		Date				
Residence: City	State	Country	Citizenstup			
Mailing Address						
City State ZIP Country						
Additional inventors are being named on the		nonal Inventor(s) sheet(s) PT				





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Smyth, Ian C. et al

:

Serial No.

10/049,956

Examiner: Unassigned

Filed

Feb. 19, 2002

Art Unit: Unknown

For

**HYDROCYCLONE** 

### **POWER OF ATTORNEY**

Petreco International Ltd., the owner of the above-identified application by an assignment recorded at Reel 012682, Frame 0550, hereby appoints: **Michael J. Brown, Registration No. 37,100**, as its attorney with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please send all correspondence to:

Michael J. Brown

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Email: mbrown@cm-p.com

The undersigned is an officer of Petreco International Ltd. and is authorized to sign on its behalf. The undersigned specifies that the above assignment has been reviewed and certifies that, to the best of my knowledge and belief, title to said application is in Petreco International Ltd.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the

like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Petreco International Ltd.

Dated:

Nicholas Winterbourne Operations Director